Combining parton showers with fixed-order calculations

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content

• (0) brief recap of parton shower.

- (I) matching the parton shower to fixedorder calculations.
- (II) multi-jet merging at LO and NLO.

• (III) future directions: NNLO matching.



(0) brief recap of elements of the PS formalism.



• QCD scattering cross sections factorise, e.g.:



 $z = E_b / E_a \qquad t \equiv p_a^2$

• this factorisation is suitable for numerical implementation.



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e.g. initial-state branching in deep inelastic lepton scattering:



- result: parton shower (**PS** henceforth) produces "exclusive" final-states:
- i.e. tells us how the inclusive cross section splits into exclusive pieces.



- exclusive cross sections are defined through noemission probabilities,
- also known as "Sudakov form factors":

$$\Delta_i(t,t_0) = \exp\left[-\sum_j \int_{t_0}^t \frac{\mathrm{d}t'}{t'} \int \mathrm{d}z \frac{\alpha_S}{2\pi} \hat{P}_{ij}(z)\right]$$

• = the probability of evolution from t_0 to t without branching.



PS versus fixed order

- PS give an approximate multi-parton cross section which: + is always finite,
 - + can produce any number of emissions,
 - is only valid in certain regions (soft/collinear).
- what if we wish to describe a process with many hard jets?
- Fixed-order calculations (henceforth **FO**):

+ contain all terms at one order of α_S,
+ valid also for high relative p_T,
- only feasible for few emissions.



the best of both worlds through:

• Matrix element corrections:

• oldest scheme: correct according to full real emission calculations,
• hard to iterate.

• FO-PS Matching:

combine an NLO calculation with the parton shower consistently.
hard to iterate.

• FO-PS Merging:

 divide phase space: use FO for hard jets, PS for soft jets.

easy to iterate.

Matrix element corrections

- **basic idea: modify** the **PS** probabilities so that they add up to the full **real emission** matrix element:
 - * choose a branching according to the **PS** probability, $P_{PS,i}$.
 - * but accept according to a "corrective" probability, $P_{\text{MECorr},i}$
 - * such that: $\sum P_{\text{PS},i} \times P_{\text{MECorr},i} = P_{\text{full}-\text{ME}}$

- + natural within **PS** formalism & efficient,
- technically fiddly,
- difficult to iterate.



(I) matching the parton shower to fixedorder calculations.



• consider $\Delta \phi(W^+W^-)$ in: $pp \to W + W^-$





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at $\Delta \phi$ (W+W-) ~ π , the FO (NLO) diverges logarithmically.



• consider $\Delta \phi(W^+W^-)$ in: $pp \to W + W^-$



at $\Delta \phi(W^+W^-) \sim 0$ NLO contributes: recoil against hard jet.



• consider $\Delta \phi(W^+W^-)$ in: $pp \to W + W^-$



but also, at $\Delta \phi$ (W+W-) ~ 0, PS contributes.



- some observables: require <u>both</u> FO & PS to be described in whole of phase space:
 - Δφ(W+W-) ~ π, NLO prediction diverges logarithmically.
 - Δφ(W+W-) ~ 0, receives contributions from both
 NLO and PS.
- ➡ <u>desirable</u>: **FO** + **PS**.



combine PS & FO to get:

PS Monte Carlo	FO
fully exclusive, hadronic final states	total rates
multiple soft/ collinear emissions	some hard/ wide angle emissions

& smooth matching between soft/hard regions

for NLO + PS:

• MC@NLO and POWHEG methods.

[Frixione, Webber, hep-ph/0204244] [Nason, hep-ph/0409146]

- [see also krkNLO: [Jadach, Płaczek, Sapeta, Siódmok, Skrzypek, 1503.06849]]
- they construct an MC that works *like* 'LO+PS' but knows how to treat hard radiation,
- also remove <u>double-counting</u> between the PS and FO.











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MC@NLO for "toy model"

- the MC@NLO method removes the double counting by modifying the NLO subtraction. [Frixione, Webber, hep-ph/0204244]
- MC@NLO subtraction, emission of "photons" of energy *x*:

$$\langle O \rangle_{\text{mod}} = \int_{0}^{1} dx \left[I_{\text{MC}}(O, x_{M}(x)) \frac{a[R(x) - BQ(x)]}{x} \right] \text{ add } \&$$
$$+ I_{\text{MC}}(O, 1) \left(B + aV - \frac{a[BQ(x) - 1]}{x} \right) \right] \text{ subtract}$$

- *I*_{MC} is the effect of the **PS** on a given "seed" configuration.
- the function *Q*(*x*)/*x* is the **splitting function**!
- [a is equivalent to QCD α_s]



the POWHEG method

- **PO**sitive Weight Hardest Emission Generator
- aim: distribute the hardest emission according to the exact NLO matrix element.
 - Sudakov form factor modified with real emission matrix elements,
 - almost eliminates negative weights
 - but some uncontrolled terms beyond NLO.



POWHEG vs MC@NLO

MC@NLO	POWHEG
+ controlled modifications to PS resummation	+ mostly positive weights
- negative weights	 interface can be subtle (e.g. p_T veto and truncated showers in angular-ordered showers)
- difficult to iterate	- difficult to iterate





e.g. transverse momentum of top-anti-top system in top quark pair production at 14 TeV.



e.g. transverse momentum of lepton pair in Z+jets vs ATLAS 7 TeV Data.

NLO for MC@NLO!



e.g. rapidity of leading jet in Z+jets vs ATLAS 7 TeV Data.

LO for MC@NLO!



e.g. inclusive jet multiplicity distribution in Z+jets vs **ATLAS 7 TeV Data.**



e.g. inclusive jet multiplicity distribution in Z+jets vs **ATLAS 7 TeV Data.**

"ALPGEN" and "SHERPA": higher-multiplicity MEs merged to the **PS**.

➡ focus on this.



(II) multi-jet merging at LO and NLO



the ME+PS merging problem

- **goal**: get an accurate prediction of multi-jet observables.
- **approach**: combine predictions for multiple jets to a single calculation.
- the challenges:
 - avoid double counting between the different calculations.
 - FO predictions may break down for collinear or soft partons (e.g. tree-level).



tree-level merging

- what we want to achieve:
 - *n* hardest jets described by FO calculation: good description of high-p_T multi-jet data.
 - **any other emission** described by the **PS**: since it gets soft/collinear patrons right.
- start with **tree-level** calculations:
 - * remove their singularities with a phase-space cut t_{MS} : the merging scale.
 - * *n* hardest partons (above t_{MS}) described with tree-level accuracy.
 - * softer partons (below $t_{\rm MS}$) described by the **PS**.
 - * whatever the algorithm should be, dependence on arbitrary $t_{\rm MS}$ should be small.



tree-level merging methods

- MLM: approximate no-emission probabilities by veto on jets.
- **CKKW:** analytic Sudakov factors as no-emission probabilities.
- **CKKW-L:** PS no-emission probabilities directly from PS trial showers.
- **Unitarised merging**: CKKW-L-inspired, does not change the inclusive cross sections.

[Lonnblad, Prestel, 1211.7278, Plätzer, 1211.5467]


CKKW merging

[Catani, Krauss, Kuhn, Webber, hep-ph/0109231]

- the algorithm:
 - * generate tree-level n-jet configurations, **defined** by the $k_{\rm T}$ jet algorithm, with a resolution parameter k_0 .
 - * for each line in the tree, associate a **Sudakov weight** giving the probability that no emission has taken place along this line.
 - * run the **PS** and put the samples together,
 - * a vetoed **PS** algorithm is used to guarantee that no unwanted hard jets are produced during jet evolution.



CKKW merging, schematically $R(q_i, q_j) = \Delta(q_i, q_0) / \Delta(q_j, q_0)$



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CKKW merging 3 $w = \prod_{i=1}^{l} \frac{\alpha_S(q_i)}{\alpha_S(q_0)}$ $\times \prod R(q_i, q_j)$ no-emission probabilities. take into account running of $\alpha_{\rm S}$ in shower.

note: for initial-state radiation need to amend with PDF reweighting.

CKKW-L

- main source of uncertainty of CKKW:
- mismatch between the k_T scale used to define the Sudakov reweighting and the evolution scale used in the PS.
- problem evaded in CKKW-L through construction of PS "histories" and the use of a veto algorithm.
- this reproduces the no-emission probabilities present in the **PS**. [L.Lonnblad JHEP 0205:046,2002]



CKKW-L example

Inclusive Jet Multiplicity



MLM merging

the algorithm:

- * generate tree-level configurations up to the desired multiplicity: e.g. Z+0, 1, 2, ..., *n* partons with phase space cuts.
- * run the **PS** on the events and
- * run a jet-cone algorithm defined by a cone size R_{clus} and minimum transverse energy E_{Tclus} .
- * compare the resulting jets with the partons before the shower: if the parton-jet distance is less than $1.5 \times R_{clus}$ they "match", remove the jet from list of jets and continue.
- * if there are partons that have not been matched to jets: **VETO** event.
- * if the parton sample contains extra jets and is not the highest-multiplicity:
 VETO event: removes double-counting. (for the highest-mult. they are allowed but they should be softer than the matched jets).















= hard partons = **PS** partons



all partons matched: keep event.



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not all partons match: **veto** event. (collinear double-log double-counting)











= hard partons = **PS** partons



not all partons match: **veto** event. (soft single-log double-counting)









= hard partons = **PS** partons





= hard partons = **PS** partons



all partons match:

keep for the inclusive sample (maximum ME multiplicity),

but veto for exclusive samples.

merging of NLO+PS

- **NLO matching:** NLO-correct for inclusive observables: reliable uncertainty estimates, but limited applicability.
- Multi-jet merging: uncertainty estimates not reliable but broad applicability.
- combine both strategies for an improved result!
- we want to use the full NLO whenever possible, i.e., have:
 - NLO accuracy for inclusive W+0 jet observables,
 - NLO accuracy for inclusive W+1 jet observables,
 - NLO accuracy for inclusive W+2 jet observables
 - [...]



merging of NLO+PS

- to achieve multi-jet merging at NLO:
 - * add multiple NLO calculations,
 - * ensure that real emission parts of NLO calculations do not overlap.



merging @ NLO+PS methods

- **FxFx:** combine multiple MC@NLOs by MLM-inspired jet matching at NLO.
- MEPS@NLO: combine MC@NLOs.
- **UNLOPS**: combine MC@NLOs or POWHEGs by Unitarised merging@NLO.
- **MiNLO**: get the zero-jet NLO by CKKW-reweighed 1jet POWHEG after integration.





[Frederix, Frixione, 1209.6215]

- the algorithm:
 - * construct MC@NLO for X+0, 1, 2,..., *n* jets,
 - * multiply the matrix elements by appropriate Sudakov factors,
 - * shower the events and apply an MLM-type rejection, but for jet-to-jet matching instead of parton-to-jet.



[Frederix, Frixione, AP, Prestel, Torrielli, 1511.00847]

e.g. ATLAS@7 TeV **exclusive jet multiplicity** in Z+jets <u>VS</u> aMC@NLO FxFx with Herwig++ or Pythia8:



[Frederix, Frixione, AP, Prestel, Torrielli, 1511.00847]

e.g. ATLAS@7 TeV **1st jet p**_T in Z+jets <u>VS</u> aMC@NLO FxFx with Herwig++ or Pythia8:



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e.g. ATLAS@7 TeV **3rd jet p**_T in Z+jets <u>VS</u> aMC@NLO FxFx with Herwig++ or Pythia8:



[Frederix, Frixione, AP, Prestel, Torrielli, 1511.00847]

e.g. ATLAS@7 TeV **4th jet p**_T in Z+jets <u>VS</u> aMC@NLO FxFx with Herwig++ or Pythia8:



MC@NLO: Z+0j.

PS-accurate observable.



(III) future directions: NNLO matching



NNLO + parton shower

- some approaches already exist, most exploiting NLO merged calculations.
- e.g. consider H and H+jet merged (both NLO +parton shower):

sample:	total σ	jet 1	jet 2	$jet \ge 3$
H NLO+PS, H+jet NLO+PS, merged.	~ σ _{NLO}	NLO	LO	PS
H NNLO+PS.	~ $\sigma_{\rm NNLO}$	NLO	LO	PS



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H NNLO+PS.	~ $\sigma_{\rm NNLO}$	NLO	LO	PS

['MiNLO': Hamilton, Nason, Re, Zanderighi, 1309.0017, Hamilton, Nason, Zanderighi, 1501.04637] achieve by

achieve by reweighing

+ [Höche, Prestel, 1506.05057] [Alioli, Bauer, Berggren, Tackmann,

Walsh, Zuberi, 1311.0286, Alioli, Bauer, Bergrren, Tackmann,

Walsh, 1508.01475]

open questions & challenges

- accuracy and uncertainties of NLO+PS merging a debated matter.
- no NNLO matching for QCD final states: multi-jets, top pairs, etc.
- do we need to develop next-to-leading-log (or even NNLL) showers for 'proper' NNLO matching?
- technically challenging, computationally intensive.
- ➡ we are a long way from NⁿLO+PS generalized / 'automated' matching for n≥1, but certainly *on* the way.


summary

- **PS** can be systematically improved with **FO** calculations.
- three major methods with some overlap in philosophy:
 - Matrix-element corrections: oldest scheme for simple processes in PS,
 - Matching: MC@NLO, POWHEG,
 - Merging: tree-level, or at NLO.



- Matrix element corrections:
 - Pythia (PLB 185 (1987) 435, NPB 289 (1987) 810, PLB 449 (1999) 313, NPB 603 (2001) 297)\\
 - Herwig (CPC 90 (1995) 95)
 - Vincia (Phys.Rev. D78 (2008) 014026, Phys.Rev. D84 (2011) 054003, Phys.Rev. D85 (2012) 014013, Phys.Lett. B718 (2013) 1345-1350, Phys.Rev. D87 (2013) 5, 054033, JHEP 1310 (2013) 127)
- NLO matching:
 - POWHEG: JHEP 0411 (2004) 040, JHEP 0711 (2007) 070, POWHEG-BOX (JHEP 1006 (2010) 043)
 - MC@NLO:
 - Original (JHEP 0206 (2002) 029), Herwig++ (Eur.Phys.J. C72 (2012) 2187)\\
 - Sherpa (JHEP 1209 (2012) 049), MC@NLO (arXiv:1405.0301)\\
- Tree-level merging:
 - MLM (Mangano, http://www-cpd.fnal.gov/personal/mrenna/tuning/nov2002/mlm.pdf. Talk presented at the Fermilab ME/MC Tuning Workshop, Oct 4, 2002, Mangano et al. JHEP 0701 (2007) 013)
 - Pseudoshower (JHEP 0405 (2004) 040)
- CKKW (JHEP 0111 (2001) 063, JHEP 0208 (2002) 015)
- CKKW-L (JHEP 0205 (2002) 046, JHEP 0507 (2005) 054, JHEP 1203 (2012) 019)



[based on lectures given by S. Prestel at

"School on QCD and LHC Physics", Sao

Paolo, July 2015]

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(IV) appendices



MC@NLO for "toy model"

- the MC@NLO method removes the double counting by modifying the NLO subtraction. [Frixione, Webber, hep-ph/0204244]
- start with a toy model for radiation of a particle of energy $0 \le x \le 1$: [*a* is equivalent to QCD α_s]

$$\begin{pmatrix} \frac{\mathrm{d}\sigma}{\mathrm{d}x} \end{pmatrix}_{B} = B\delta(x) \longrightarrow \text{"Born"} = \mathrm{LO}$$

$$\begin{pmatrix} \frac{\mathrm{d}\sigma}{\mathrm{d}x} \end{pmatrix}_{V} = a \begin{pmatrix} \frac{B}{2\epsilon} + V \end{pmatrix} \delta(x) \longrightarrow \text{virtual correction}_{\substack{[\varepsilon: \text{ parameter entering} \\ \mathrm{dimensional regularization}]}}$$

$$\begin{pmatrix} \frac{\mathrm{d}\sigma}{\mathrm{d}x} \end{pmatrix}_{R} = a \frac{R(x)}{x} \longrightarrow \text{real emission:}_{\substack{x \to 0}} R(x) = B$$



MC@NLO for "toy model"

• an **NLO (fixed-order)** observable *O*(*x*) is then given by:

$$\langle O \rangle = \lim_{\epsilon \to 0} \int_0^1 \mathrm{d}x \ x^{-2\epsilon} O(x) \left[\left(\frac{\mathrm{d}\sigma}{\mathrm{d}x} \right)_B + \left(\frac{\mathrm{d}\sigma}{\mathrm{d}x} \right)_V + \left(\frac{\mathrm{d}\sigma}{\mathrm{d}x} \right)_R \right]$$

- main technical problem: due to regularising parameter, ε .
- extract the pole in ε from real in order to cancel the one from virtual: to have an efficient numerical procedure.
- i.e. we must make the integrands **separately** finite.



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[phase-space factor from dimensional regularisation]

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cancels pole in virtual! no singularities! set $\varepsilon = 0$



• rewrite real contribution as:

$$\begin{split} \langle O \rangle_{R} &= \lim_{\epsilon \to 0} \int_{0}^{1} \mathrm{d}x \; x^{-2\epsilon} O(x) \left[a \frac{BO(0)}{x} + a \frac{R(x)O(x) - BO(0)}{x} \right] \\ &= \lim_{\epsilon \to 0} \left[-a \frac{BO(0)}{2\epsilon} + a \int_{0}^{1} \mathrm{d}x \frac{R(x)O(x) - BO(0)}{x} \right] \\ &= \operatorname{cancels pole in virtual!} \quad \text{no singularities! set } \epsilon = \mathbf{0} \\ \\ &\Rightarrow \langle O \rangle_{\mathrm{sub}} = BO(0) + a \left[VO(0) + \int_{0}^{1} \mathrm{d}x \frac{R(x)O(x) - BO(0)}{x} \right] \end{split}$$

effect of the PS

• for ease of use in Monte Carlo, rewrite the **NLO-subtracted** observable as:

$$\langle O \rangle_{\text{sub}} = \int_0^1 \mathrm{d}x \left[O(x) \frac{aR(x)}{x} + O(0) \left(B + aV - \frac{aB}{x} \right) \right]$$

- given a LO configuration: $\langle O \rangle_{\text{LO}} = BO(0)$
- the **PS** produces a configuration:

$$\langle O \rangle_{\mathrm{MC}@\mathrm{LO}} = BI_{\mathrm{MC}}(O, x_M = 1)$$

total energy of the system (= 1 for LO)

• i.e. the PS performs substitution: $O(x) \longrightarrow I_{MC}(O(x_M(x)))$

NLO matching: naive subtraction

• "naive" subtraction: substitution $O(x) \longrightarrow I_{MC}(O, x_M(x))$ in NLO-subtracted expectation value:

$$\langle O \rangle_{\text{naive}} = \int_0^1 \mathrm{d}x \left[I_{\text{MC}}(O, x_M(x)) \frac{aR(x)}{x} + I_{\text{MC}}(O, 1) \left(B + aV - \frac{aB}{x} \right) \right]$$

- suggests the following algorithm:
- * pick at random $0 \le x \le 1$,
- * generate MC "event" with $x_M(x)$ available to the 1st branching, has weight according to the 1st term: aR(x)/x,
- * generate MC "counter-event" with $x_M=1$ and weight according to 2^{nd} term: B + aV - aB/x



NLO matching: naive subtraction

- "naive" subtraction (as the name suggests) fails because:
 - * individual weights diverge,
 - * issue of double counting: equivalent to the schematic diagrams drawn earlier: I_{MC} contains terms included in the real radiation.
- **modified subtraction** amends the above:

$$\langle O \rangle_{\text{mod}} = \int_{0}^{1} \mathrm{d}x \left[I_{\text{MC}}(O, x_{M}(x)) \frac{a[R(x) - BQ(x)]}{x} \right] \xrightarrow{\text{add } \&}$$

+ $I_{\text{MC}}(O, 1) \left(B + aV - \frac{a[BQ(x) - 1]}{x} \right) \right] \xrightarrow{\text{add } \&}$

• the function Q(x)/x is the **splitting function**! corresponding to PS Sudakov form factor: $\Delta(x_1, x_2) = \exp\left[-a \int_{x_1}^{x_2} dz \frac{Q(z)}{z}\right]$

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NLO matching: naive subtraction

• modified subtraction:

$$\langle O \rangle_{\text{mod}} = \int_0^1 dx \left[I_{\text{MC}}(O, x_M(x)) \frac{a[R(x) - BQ(x)]}{x} + I_{\text{MC}}(O, 1) \left(B + aV - \frac{a[BQ(x) - 1]}{x} \right) \right]$$

- a property of Q: $\lim_{z \to 0} Q(z) = 1$
- integrands for "events" and "counter-events" are now separately finite.
- left as an exercise: show that that the double counting vanishes.

[Frixione, Webber, hep-ph/0204244]

QCD MC@NLO

- **truth is stranger than fiction**: (i.e. QCD is more complicated than toy model)
 - initial-state collinear divergences need to be subtracted as well (related to the parton densities).
 - colour structure of the emissions needs to be taken into account.
 - subtleties with phase-space mapping between the different configurations.



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see NLO+PS matching lectures for more details!



$\Delta \phi (W^+W^-)$

[Frixione, Webber, hep-ph/0204244]

