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Week Plan





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- •Thursday: NLO

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$$\begin{aligned} \frac{d\sigma}{dx_1 dx_2} &= \sigma_0 C_F \frac{\alpha_s}{2\pi} \frac{x_1^2 + x_2^2}{(1 - x_1)(1 - x_2)} \begin{array}{l} x_1 &= 2k_1 \cdot q/q^2 = 2E_q/\sqrt{S} \\ x_2 &= 2k_2 \cdot q/q^2 = 2E_{\bar{q}}/\sqrt{S} \\ x_3 &= 2k_3 \cdot q/q^2 = 2E_g/\sqrt{S} \\ x_1 + x_2 + x_3 &= 2 \end{aligned}$$

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$$\begin{aligned} \frac{d\sigma}{dx_1 dx_2} &= \sigma_0 C_F \frac{\alpha_s}{2\pi} \frac{x_1^2 + x_2^2}{(1 - x_1)(1 - x_2)} & \begin{array}{l} x_1 = 2k_1 \cdot q/q^2 = 2E_q/\sqrt{S} \\ x_2 = 2k_2 \cdot q/q^2 = 2E_{\bar{q}}/\sqrt{S} \\ x_3 = 2k_3 \cdot q/q^2 = 2E_g/\sqrt{S} \\ x_1 + x_2 + x_3 = 2 \end{aligned}$$

$$\bullet \text{ Change the variable to } x_3 \text{ and } \cos\theta_{13} \\ \frac{d\sigma}{dx_3 d \cos\theta_{13}} &= \sigma_0 C_F \frac{\alpha_s}{2\pi} \left(\frac{2}{\sin^2\theta_{13}} \frac{1 - (1 - x_3)^2}{x_3} - x_3 \right) \end{aligned}$$

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$$\begin{aligned} \frac{d\sigma}{dx_1 dx_2} &= \sigma_0 C_F \frac{\alpha_s}{2\pi} \frac{x_1^2 + x_2^2}{(1 - x_1)(1 - x_2)} & \begin{array}{l} x_1 = 2k_1 \cdot q/q^2 = 2E_q/\sqrt{S} \\ x_2 = 2k_2 \cdot q/q^2 = 2E_{\bar{q}}/\sqrt{S} \\ x_3 = 2k_3 \cdot q/q^2 = 2E_g/\sqrt{S} \\ x_1 + x_2 + x_3 = 2 \end{aligned}$$

$$\bullet \text{ Change the variable to } x_3 \text{ and } \cos\theta_{13} \\ \frac{d\sigma}{dx_3 d \cos\theta_{13}} &= \sigma_0 C_F \frac{\alpha_s}{2\pi} \begin{pmatrix} 2 & 1 - (1 - x_3)^2 \\ \sin^2\theta_{13} & x_3 \end{pmatrix} \\ \frac{\sin^2\theta_{13}}{x_3} & x_3 \end{pmatrix}$$

$$\begin{aligned} \frac{d\sigma}{dx_1 dx_2} &= \sigma_0 C_F \frac{\alpha_s}{2\pi} \frac{x_1^2 + x_2^2}{(1 - x_1)(1 - x_2)} & \begin{array}{l} x_1 = 2k_1 \cdot q/q^2 = 2E_q/\sqrt{S} \\ x_2 = 2k_2 \cdot q/q^2 = 2E_{\bar{q}}/\sqrt{S} \\ x_3 = 2k_3 \cdot q/q^2 = 2E_g/\sqrt{S} \\ x_1 + x_2 + x_3 = 2 \end{aligned}$$

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$$\begin{aligned} \frac{d\sigma}{dx_1 dx_2} &= \sigma_0 C_F \frac{\alpha_s}{2\pi} \frac{x_1^2 + x_2^2}{(1 - x_1)(1 - x_2)} & x_2 = 2k_2 \cdot q/q^2 = 2E_q/\sqrt{S} \\ x_2 &= 2k_2 \cdot q/q^2 = 2E_q/\sqrt{S} \\ x_3 &= 2k_3 \cdot q/q^2 = 2E_g/\sqrt{S} \\ x_1 + x_2 + x_3 &= 2 \end{aligned}$$
• Change the variable to x_3 and $\cos \theta_{13}$

$$\frac{d\sigma}{dx_3 d \cos \theta_{13}} &= \sigma_0 C_F \frac{\alpha_s}{2\pi} \begin{pmatrix} 2 & 1 - (1 - x_3)^2 \\ \sin^2 \theta_{13} & x_3 \end{pmatrix}$$
• Collinear limit
$$\frac{2 d\cos \theta_{13}}{\sin^2 \theta_{13}} = \frac{d\cos \theta_{13}}{1 - \cos \theta_{13}} + \frac{d\cos \theta_{13}}{1 + \cos \theta_{13}} \\ \approx \frac{d\cos \theta_{13}}{(1 - \cos \theta_{13})} + \frac{d\cos \theta_{13}}{(1 - \cos \theta_{13})} \\ \approx \frac{d\theta_{13}^2}{(1 - \cos \theta_{13})} + \frac{d\cos \theta_{13}}{(1 - \cos \theta_{23})} \\ \approx \frac{d\theta_{13}^2}{\theta_{13}^2} + \frac{d\theta_{23}^2}{\theta_{23}^2} \end{aligned}$$

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$$\frac{1}{(p_b + p_c)^2} \simeq \frac{1}{2\frac{E_b E_c}{1 - \cos \theta}} = \frac{1}{t} \quad \textcircled{M_p}_{a} \stackrel{b}{\underset{c}{\longrightarrow}} \stackrel{z}{\underset{c}{\longrightarrow}} \stackrel{b}{\underset{c}{\longrightarrow}} \stackrel{z}{\underset{c}{\longrightarrow}} \stackrel{z}{\underset{c}{\longrightarrow}} \stackrel{b}{\underset{c}{\longrightarrow}} \stackrel{z}{\underset{c}{\longrightarrow}} \stackrel{z}{\underset{c}{\longrightarrow}} \stackrel{z}{\underset{c}{\longrightarrow}} \stackrel{b}{\underset{c}{\longrightarrow}} \stackrel{z}{\underset{c}{\longrightarrow}} \stackrel{z}{\underset{c}{\underset{c}{\longrightarrow}} \stackrel{z}{\underset{c}{\underset{c}{\longrightarrow}} \stackrel{z}{\underset{c}{\underset{c}{\underset$$

$$|\mathcal{M}_{n+1}|^2 d\Phi_{n+1} \simeq |\mathcal{M}_n|^2 d\Phi_n \frac{dt}{t} dz \frac{d\phi}{2\pi} \frac{\alpha_{\rm S}}{2\pi} P_{a \to bc}(z) \bigg)$$

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$$d\theta^2/\theta^2 = dm^2/m^2 = dp_T^2/p_T^2$$
$$m^2 \simeq z(1-z)\theta^2 E_a^2$$
$$p_T^2 \simeq zm^2$$

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$$|\mathcal{M}_{n+1}|^2 d\Phi_{n+1} \simeq |\mathcal{M}_n|^2 d\Phi_n \frac{dt}{t} dz \frac{d\phi}{2\pi} \frac{\alpha_s}{2\pi} P_{a \to bc}(z)$$

$$\begin{split} \hat{P}_{qq}(z) &= C_F \left[\frac{1+z^2}{(1-z)} \right], \\ \hat{P}_{gq}(z) &= C_F \left[\frac{1+(1-z)^2}{z} \right], \\ \hat{P}_{qg}(z) &= T_R \left[z^2 + (1-z)^2 \right], \\ \hat{P}_{gg}(z) &= C_A \left[\frac{z}{(1-z)} + \frac{1-z}{z} + z \left(1-z \right) \right]. \end{split}$$

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$$|\mathcal{M}_{n+1}|^2 d\Phi_{n+1} \simeq |\mathcal{M}_n|^2 d\Phi_n \frac{dt}{t} dz \frac{d\phi}{2\pi} \frac{\alpha_s}{2\pi} P_{a \to bc}(z)$$

$$\hat{P}_{qq}(z) = C_F \left[\frac{1+z^2}{(1-z)} \right],$$

$$\hat{P}_{gq}(z) = C_F \left[\frac{1+(1-z)^2}{z} \right],$$

$$\hat{P}_{qg}(z) = T_R \left[z^2 + (1-z)^2 \right],$$

$$\hat{P}_{gg}(z) = C_A \left[\frac{z}{(1-z)} + \frac{1-z}{z} + z (1-z) \right].$$

$$C_F = \frac{4}{3}, C_A = 3, T_R = \frac{1}{2}.$$

Comments:

- * Gluons radiate the most
- $* P_{qg}$ has no soft divergences.

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$$|\mathcal{M}_{n+1}|^2 d\Phi_{n+1} \simeq |\mathcal{M}_n|^2 d\Phi_n \frac{dt}{t} dz \frac{d\phi}{2\pi} \frac{\alpha_s}{2\pi} P_{a \to bc}(z)$$

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$$\alpha_{\rm s}(Q^2) = \frac{\alpha_{\rm s}(\mu^2)}{1 + \alpha_{\rm s}(\mu^2)b_0 \log \frac{Q^2}{\mu^2}} \sim \alpha_{\rm s}(\mu^2) \left(1 - \alpha_{\rm s}(\mu^2)b_0 \log \frac{Q^2}{\mu^2}\right)$$

д

$$\alpha_{\rm s}(Q^2) \left(P_{a \to bc}(z) + \alpha_{\rm s}(Q^2) P_{a \to bc}' \right) = \alpha_{\rm s}(Q^2) \left(1 - \alpha_{\rm s}(Q^2) b \log z(1-z) \right) P_{a \to bc}(z)$$
$$\sim \alpha_{\rm s}(z(1-z)Q^2) P_{a \to bc}(z)$$

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$$|\mathcal{M}_{n+1}|^2 d\Phi_{n+1} \simeq |\mathcal{M}_n|^2 d\Phi_n \frac{dt}{t} dz \frac{d\phi}{2\pi} \frac{\alpha_s}{2\pi} P_{a \to bc}(z) \right)$$

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$$\alpha_{\rm s}(Q^2) = \frac{\alpha_{\rm s}(\mu^2)}{1 + \alpha_{\rm s}(\mu^2)b_0 \log \frac{Q^2}{\mu^2}} \sim \alpha_{\rm s}(\mu^2) \left(1 - \alpha_{\rm s}(\mu^2)b_0 \log \frac{Q^2}{\mu^2}\right)$$

д

$$\alpha_{\rm s}(Q^2) \left(P_{a \to bc}(z) + \alpha_{\rm s}(Q^2) P_{a \to bc}' \right) = \alpha_{\rm s}(Q^2) \left(1 - \alpha_{\rm s}(Q^2) b \log z(1-z) \right) P_{a \to bc}(z)$$
$$\sim \alpha_{\rm s}(\mathbf{t}) P_{a \to bc}(z)$$

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Multiple emission





Now consider M_{n+1} as the new core process and use the recipe we used for the first emission in order to get the dominant contribution to the (n+2)-body cross section: add a new branching at angle much smaller than the previous one:

$$|\mathcal{M}_{n+2}|^2 d\Phi_{n+2} \simeq |\mathcal{M}_n|^2 d\Phi_n \frac{dt}{t} dz \frac{d\phi}{2\pi} \frac{\alpha_{\rm S}}{2\pi} P_{a \to bc}(z) \\ \times \frac{dt'}{t'} dz' \frac{d\phi'}{2\pi} \frac{\alpha_{\rm S}}{2\pi} P_{b \to de}(z')$$

• This can be done for an arbitrary number of emissions. The recipe to get the leading collinear singularity is thus cast in the form of an iterative sequence of emissions whose probability does not depend on the past history of the system: a 'Markov chain'. No interference!!!



Multiple emission





• The dominant contribution comes from the region where the subsequently emitted partons satisfy the strong ordering requirement: $\theta \gg \theta' \gg \theta''$...

For the rate for multiple emission we get

$$\sigma_{n+k} \propto \alpha_{\rm s}^k \int_{Q_0^2}^{Q^2} \frac{dt}{t} \int_{Q_0^2}^t \frac{dt'}{t'} \dots \int_{Q_0^2}^{t^{(k-2)}} \frac{dt^{(k-1)}}{t^{(k-1)}} \propto \sigma_n \left(\frac{\alpha_{\rm s}}{2\pi}\right)^k \log^k(Q^2/Q_0^2)$$

where Q is a typical hard scale and Q_0 is a small infrared cutoff that separates perturbative from non perturbative regimes.

• Each power of α_s comes with a logarithm. The logarithm can be easily large, and therefore it can lead to a breakdown of perturbation theory.

Absence of interference University

- The collinear factorization picture gives a branching sequence for a given leg starting from the hard subprocess all the way down to the non-perturbative region.
- Suppose you want to describe two such histories from two different legs: these two legs are treated in a completely uncorrelated way. And even within the same history, subsequent emissions are uncorrelated.
- The collinear picture completely misses the possible interference effects between the various legs. The extreme simplicity comes at the price of quantum inaccuracy.
- Nevertheless, the collinear picture captures the leading contributions: it gives an excellent description of an arbitrary number of (collinear) emissions:
 - It is a "resummed computation"
 - It bridges the gap between fixed-order perturbation theory and the non-perturbative hadronization.



Sudakov Form Factor



•What is the probability of no emission?













$$\mathcal{P}_{\text{non-branching}}(t_i) = 1 - \mathcal{P}_{\text{branching}}(t_i) = 1 - \frac{\delta t}{t_i} \frac{\alpha_s}{2\pi} \int dz \hat{P}(z)$$

•So the probability of no emission between two scales:





•What is the probability of no emission? $\mathcal{P}_{\text{non-branching}}(t_i) = 1 - \mathcal{P}_{\text{branching}}(t_i) = 1 - \frac{\delta t}{t_i} \frac{\alpha_s}{2\pi} \int dz \hat{P}(z)$ So the probability of no emission between two scales: $P_{no-branching}(Q^2,t) = \lim_{N \to \infty} \prod_{i=0}^{N} \left(1 - \frac{\delta t}{t_i} \frac{\alpha_S}{2\pi} \int dz \hat{P}(z) \right)$





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•What is the probability of no emission? $\mathcal{P}_{\text{non-branching}}(t_i) = 1 - \mathcal{P}_{\text{branching}}(t_i) = 1 - \frac{\delta t}{t_i} \frac{\alpha_s}{2\pi} \int dz \hat{P}(z)$ So the probability of no emission between two scales: $P_{no-branching}(Q^2, t) = \lim_{N \to \infty} \prod_{i=0}^{N} \left(1 - \frac{\delta t}{t_i} \frac{\alpha_S}{2\pi} \int dz \hat{P}(z) \right)$ $\simeq \lim_{n \to \infty} e^{\sum_{i=0}^{N} \left(-\frac{\delta t}{t_i} \frac{\alpha_S}{2\pi} \int dz \hat{P}(z) \right)}$ $N \rightarrow \infty$ $\sim e^{-\int_t^{Q^2} \frac{dt'}{t'} \int dz \frac{\alpha_S}{2\pi} \hat{P}(z)} \equiv e^{-\int_t^{Q^2} dp(t')}$























•What is the probability of no emission? $\mathcal{P}_{\text{non-branching}}(t_i) = 1 - \mathcal{P}_{\text{branching}}(t_i) = 1 - \frac{\delta t}{t_i} \frac{\alpha_s}{2\pi} \int dz \hat{P}(z)$ So the probability of no emission between two scales: $P_{no-branching}(Q^2, t) = \lim_{N \to \infty} \prod_{i=0}^{N} \left(1 - \frac{\delta t}{t_i} \frac{\alpha_S}{2\pi} \int dz \hat{P}(z) \right)$ $\simeq \lim e^{\sum_{i=0}^{N} \left(-\frac{\delta t}{t_i} \frac{\alpha_S}{2\pi} \int dz \hat{P}(z) \right)}$ $N \rightarrow \infty$ **Sudakov form factor** $\sim e^{-\int_t^{Q^2} \frac{dt'}{t'} \int dz \frac{\alpha_S}{2\pi} \hat{P}(z)} = e^{-\int_t^{Q^2} dp(t')}$ $\Delta(Q^2,t)$ Property: $\Delta(A,B) = \Delta(A,C) \Delta(C,B)$





- The Sudakov form factor is the heart of the parton shower. It gives the probability that a parton does not branch between two scales
- * Using this no-emission probability the branching tree of a parton is generated.
- $\$ Define dP_k as the probability for k ordered splittings from leg a at given scales

$$dP_{1}(t_{1}) = \Delta(Q^{2}, t_{1}) dp(t_{1})\Delta(t_{1}, Q_{0}^{2}),$$

$$dP_{2}(t_{1}, t_{2}) = \Delta(Q^{2}, t_{1}) dp(t_{1}) \Delta(t_{1}, t_{2}) dp(t_{2}) \Delta(t_{2}, Q_{0}^{2})\Theta(t_{1} - t_{2}),$$

$$\dots = \dots$$

$$dP_{k}(t_{1}, \dots, t_{k}) = \Delta(Q^{2}, Q_{0}^{2}) \prod_{l=1}^{k} dp(t_{l})\Theta(t_{l-1} - t_{l})$$

 Q_0^2 is the hadronization scale (~I GeV). Below this scale we do not trust the perturbative description for parton splitting anymore.











$$dP_k(t_1,...,t_k) = \Delta(Q^2,Q_0^2) \prod_{l=1}^k dp(t_l)\Theta(t_{l-1}-t_l)$$

• The parton shower has to be unitary (the sum over all branching trees should be 1). We can explicitly show this by integrating the probability for k splittings:

$$P_k \equiv \int dP_k(t_1,...,t_k) = \Delta(Q^2,Q_0^2) \frac{1}{k!} \left[\int_{Q_0^2}^{Q^2} dp(t) \right]^k, \quad \forall k = 0, 1, ...$$





$$dP_k(t_1, ..., t_k) = \Delta(Q^2, Q_0^2) \prod_{l=1}^k dp(t_l) \Theta(t_{l-1} - t_l)$$

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• Summing over all number of emissions





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• Summing over all number of emissions

$$\sum_{k=0}^{\infty} P_k = \Delta(Q^2, Q_0^2) \sum_{k=0}^{\infty} \frac{1}{k!} \left[\int_{Q_0^2}^{Q^2} dp(t) \right]^k = \Delta(Q^2, Q_0^2) \exp\left[\int_{Q_0^2}^{Q^2} dp(t) \right] = 1$$





$$dP_k(t_1, ..., t_k) = \Delta(Q^2, Q_0^2) \prod_{l=1}^k dp(t_l) \Theta(t_{l-1} - t_l)$$

• The parton shower has to be unitary (the sum over all branching trees should be 1). We can explicitly show this by integrating the probability for k splittings:

$$P_k \equiv \int dP_k(t_1, \dots, t_k) = \Delta(Q^2, Q_0^2) \frac{1}{k!} \left[\int_{Q_0^2}^{\infty} dp(t) \right] \quad , \quad \forall k = 0, 1, \dots$$

• Summing over all number of emissions $\sum_{k=0}^{\infty} P_k = \Delta(Q^2, Q_0^2) \sum_{k=0}^{\infty} \frac{1}{k!} \left[\int_{Q_0^2}^{Q^2} dp(t) \right]^k = \Delta(Q^2, Q_0^2) \exp\left[\int_{Q_0^2}^{Q^2} dp(t) \right] = 1$

Hence, the total probability is conserved



singularities



- We have shown that the showers is unitary. However, how are the IR divergences cancelled explicitly? Let's show this for the first emission:
 - Consider the contributions from (exactly) 0 and 1 emissions from leg a:

$$\frac{d\sigma}{\sigma_n} = \Delta(Q^2, Q_0^2) + \Delta(Q^2, Q_0^2) \sum_{bc} dz \frac{dt}{t} \frac{d\phi}{2\pi} \frac{\alpha_s}{2\pi} P_{a \to bc}(z)$$

• Expanding to first order in α_s gives

$$\frac{d\sigma}{\sigma_n} \simeq 1 - \sum_{bc} \int_{Q_0^2}^{Q^2} \frac{dt'}{t'} dz \frac{d\phi}{2\pi} \frac{\alpha_s}{2\pi} P_{a \to bc}(z) + \sum_{bc} dz \frac{dt}{t} \frac{d\phi}{2\pi} \frac{\alpha_s}{2\pi} P_{a \to bc}(z)$$

- Same structure of the two latter terms, with opposite signs: cancellation of divergences between the approximate virtual and approximate real emission cross sections.
- The probabilistic interpretation of the shower ensures that infrared divergences will cancel for each emission.

Final-state parton showers











1. Start the evolution at the virtual mass scale t_0 (e.g. the mass of the decaying particle) and momentum fraction $z_0 = 1$





- I. Start the evolution at the virtual mass scale t_0 (e.g. the mass of the decaying particle) and momentum fraction $z_0 = 1$
- 2. Given a virtual mass scale t_i and momentum fraction x_i at some stage in the evolution, generate the scale of the next emission t_{i+1} according to the Sudakov probability $\Delta(t_i, t_{i+1})$ by solving $\Delta(t_{i+1}, t_i) = R$ where R is a random number (uniform on [0, 1]).





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- 3. If $t_{i+1} < t_{cut}$ it means that the shower has finished.





- I. Start the evolution at the virtual mass scale t_0 (e.g. the mass of the decaying particle) and momentum fraction $z_0 = 1$
- 2. Given a virtual mass scale t_i and momentum fraction x_i at some stage in the evolution, generate the scale of the next emission t_{i+1} according to the Sudakov probability $\Delta(t_i, t_{i+1})$ by solving $\Delta(t_{i+1}, t_i) = R$ where R is a random number (uniform on [0, 1]).
- 3. If $t_{i+1} < t_{cut}$ it means that the shower has finished.
- 4. Otherwise, generate $z = z_i/z_{i+1}$ with a distribution proportional to $(\alpha_s/2\pi)P(z)$, where P(z) is the appropriate splitting function.





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- 5. For each emitted particle, iterate steps 2-4 until branching stops.





- I. Start the evolution at the virtual mass scale t_0 (e.g. the mass of the decaying particle) and momentum fraction $z_0 = 1$
- 2. Given a virtual mass scale t_i and momentum fraction x_i at some stage in the evolution, generate the scale of the next emission t_{i+1} according to the Sudakov probability $\Delta(t_i, t_{i+1})$ by solving

 $\Delta(t_{i+1},t_i) = R$ Can we solve this equation? NO -> veto algorithm where R is a random number (uniform on [0, 1]).

- 3. If $t_{i+1} < t_{cut}$ it means that the shower has finished.
- 4. Otherwise, generate $z = z_i/z_{i+1}$ with a distribution proportional to $(\alpha_s/2\pi)P(z)$, where P(z) is the appropriate splitting function.
- 5. For each emitted particle, iterate steps 2-4 until branching stops.



Veto Algorithm









1. find overestimate of the branching probability

$$\bar{P}(z) \ge \hat{P}(z), \ \bar{z}_{min} \le z_{min}(t), \ z_{max}(t) \le \bar{z}_{max}, \ \bar{\alpha}_S \ge \alpha_S(t)$$
$$g(t) = \frac{\bar{\alpha}}{2\pi t} \int_{\bar{z}_{min}}^{\bar{z}_{max}} \bar{P}(z) \ge \int \frac{\alpha_S}{2\pi} \frac{1}{t} \hat{P}(z) = p(t)$$

2. Solve the overestimated Sudakov

$$R = \bar{\Delta}(Q^2, t) \equiv e^{-\int_t^{Q^2} g(t')dt'}$$

We have $\mathcal{P}(t)=g(t)\bar{\Delta}(Q^2,t)$

We need
$$\mathcal{P}(t) = p(t)\Delta(Q^2,t)$$





1. find overestimate of the branching probability $\bar{P}(z) \ge \hat{P}(z), \ \bar{z}_{min} \le z_{min}(t), \ z_{max}(t) \le \bar{z}_{max}, \ \bar{\alpha}_S \ge \alpha_S(t)$ $g(t) = \frac{\bar{\alpha}}{2\pi t} \int_{\bar{z}_{max}}^{\bar{z}_{max}} \bar{P}(z) \ge \int \frac{\alpha_S}{2\pi} \frac{1}{t} \hat{P}(z) = p(t)$

2. Solve the overestimated Sudakov

$$R = \bar{\Delta}(Q^2, t) \equiv e^{-\int_t^{Q^2} g(t')dt'}$$

We have $\mathcal{P}(t)=g(t)\bar{\Delta}(Q^2,t)$

Standard unweighting needs

We need
$$\mathcal{P}(t)=p(t)\Delta(Q^2,t)$$

$$\frac{p(t)\Delta(Q^2,t)}{g(t)\bar{\Delta}(Q^2,t)} \le 1$$





1. find overestimate of the branching probability $\bar{P}(z) \ge \hat{P}(z), \ \bar{z}_{min} \le z_{min}(t), \ z_{max}(t) \le \bar{z}_{max}, \ \bar{\alpha}_S \ge \alpha_S(t)$ $g(t) = \frac{\bar{\alpha}}{2\pi t} \int_{\bar{z}}^{z_{max}} \bar{P}(z) \ge \int \frac{\alpha_S}{2\pi} \frac{1}{t} \hat{P}(z) = p(t)$ Solve the overestimated Sudakov $R = \bar{\Delta}(Q^2, t) \equiv e^{-\int_t^{Q^2} g(t')dt'}$ We have $\mathcal{P}(t) = q(t)\overline{\Delta}(Q^2, t)$ We need $\mathcal{P}(t) = p(t)\Delta(Q^2, t)$ $\frac{p(t)\Delta(Q^2,t)}{a(t)\bar{\Delta}(Q^2,t)} \times 1 \quad \begin{array}{c} \text{Standard unweighting} \\ \text{does not work!} \end{array}$ Standard unweighting needs

3. Special selection: Veto Algorithm



Veto Algorithm









1. Idea

• We want to compensate the over-estimate of the choice of the scale by not re-generate above that scale if the scale is rejected





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Is is what we want?









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$$\begin{array}{c} \hline \end{array} \\ \hline \end{array}$$
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$$\mathcal{P}_{2}(t) = p(t)\bar{\Delta}(t_{0}, t) \int_{t}^{t_{0}} dt_{1} \left((g(t_{1}) - p(t_{1}))\int_{t}^{t_{1}} dt_{2}(g(t_{2}) - p(t_{2}))\right)$$





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$$= p(t)\bar{\Delta}(t_{0}, t) \frac{1}{2} \left(\int_{t}^{t_{0}} dt'g(t') - p(t') \right)^{2}$$





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With the Sudakov form factor, we can now implement a final-state parton shower in a Monte Carlo event generator!

- I. Start the evolution at the virtual mass scale t_0 (e.g. the mass of the decaying particle) and momentum fraction $z_0 = 1$
- 2. Given a virtual mass scale t_i and momentum fraction x_i at some stage in the evolution, generate the scale of the next emission t_{i+1} according to the Sudakov probability $\Delta(t_i, t_{i+1})$ by solving $\Delta(t_{i+1}, t_i) = R$ where R is a random number (uniform on [0, 1]).
- 3. If $t_{i+1} < t_{cut}$ it means that the shower has finished.
- 4. Otherwise, generate $z = z_i/z_{i+1}$ with a distribution proportional to $(\alpha_s/2\pi)P(z)$, where P(z) is the appropriate splitting function.
- 5. For each emitted particle, iterate steps 2-4 until branching stops.



Soft Limit



$$\Delta(Q^2, t) = \exp\left[-\sum_{bc} \int_t^{Q^2} \frac{dt'}{t'} dz \frac{d\phi}{2\pi} \frac{\alpha_{\rm s}}{2\pi} P_{a \to bc}(z)\right]$$

- There is a lot of freedom in the choice of evolution parameter t. It can be the virtuality m² of particle a or its p_T^2 or $E^2\theta^2$... For the collinear limit they are all equivalent
- However, in the soft limit $(z \rightarrow 0, I)$ they behave differently
- Can we chose it such that we get the correct soft limit?
- Soft gluon comes from the full event!



• Quantum Interference







Radiation inside cones around the original partons is allowed (and described by the eikonal approximation), outside the cones it is zero (after averaging over the azimuthal angle)









An intuitive explanation of angular ordering te state:



 $\tau < \gamma/\mu = E/\mu^2 = I/(k_0\theta^2) = I/(k_\perp\theta)$

 ≫ Distance between q and qbar after **T**: $d = \phi T = (\phi/\theta) I/k_{\perp}$

$$\label{eq:main_state} \begin{split} \mu^2 &= (p{+}k)^2 = 2E \; k_0 \left(I{-}cos\theta \right) \\ &\sim E \; k_0 \; \theta^2 \sim E \; k_\perp \; \theta \end{split}$$

If the transverse wavelength of the emitted gluon is longer than the separation between q and qbar, the gluon emission is suppressed, because the q qbar system will appear as colour neutral (i.e. dipole-like emission, suppressed)

```
Therefore d > 1/k_{\perp}, which implies \theta < \phi.
```









- The construction can be iterated to the next emission, with the result that the emission angles keep getting smaller and smaller.
- One can generalize it to a generic parton of color charge Q_k splitting into two partons i and j, Q_k=Q_i+Q_j. The result is that inside the cones i and j emit as independent charges, and outside their angular-ordered cones the emission is coherent and can be treated as if it was directly from color charge Q_k.

KEY POINT FOR THE MC!

Angular ordering is automatically satisfied in
 θ ordered showers! (and easy to account for in pT ordered showers).









Angular ordering is:





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I. A quantum effect coming from the interference of different Feynman diagrams.





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2. Nevertheless it can be expressed in "a classical fashion" (square of a amplitude is equal to the sum of the squares of two special "amplitudes"). The classical limit is the dipole-radiation.

3. It is not an exclusive property of QCD (i.e., it is also present in QED) but in QCD produces very non-trivial effects, depending on how particles are color connected.

Initial-state







- So far, we have looked at final-state (time-like) splittings. For initial state, the splitting functions are the same
- However, there is another ingredient: the parton density (or distribution) functions (PDFs). Naively: Probability to find a given parton in a hadron at a given momentum fraction x = p_z/ P_z and scale t.

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- How do the PDFs evolve with increasing t?

$$t\frac{\partial}{\partial t}f_i(x,t) = \int_x^1 \frac{dz}{z} \frac{\alpha_s}{2\pi} P_{ij}(z)f_j\left(\frac{x}{z},t\right) \quad \text{DGLAP}$$

Initial-state parton splitting



Start with a quark PDF f₀(x) at scale t₀. After a single parton emission, the probability to find the quark at virtuality t > t₀ is

$$f(x,t) = f_0(x) + \int_{t_0}^t \frac{dt'}{t'} \frac{\alpha_s}{2\pi} \int_x^1 \frac{dz}{z} P(z) f_0\left(\frac{x}{z}\right)$$

• After a second emission, we have

$$f(x,t) = f_0(x) + \int_{t_0}^t \frac{dt'}{t'} \frac{\alpha_s}{2\pi} \int_x^1 \frac{dz}{z} P(z) \left\{ f_0\left(\frac{x}{z}\right) \swarrow f(x/z, t') + \int_{t_0}^{t'} \frac{dt''}{t''} \frac{\alpha_s}{2\pi} \int_{x/z}^1 \frac{dz'}{z'} P(z') f_0\left(\frac{x}{zz'}\right) \right\}$$

The DGLAP equation





• So for multiple parton splittings, we arrive at an integraldifferential equation:

$$t\frac{\partial}{\partial t}f_i(x,t) = \int_x^1 \frac{dz}{z} \frac{\alpha_s}{2\pi} P_{ij}(z)f_j\left(\frac{x}{z},t\right)$$

- This is the famous DGLAP equation (where we have taken into account the multiple parton species i, j). The boundary condition for the equation is the initial PDFs $f_{i0}(x)$ at a starting scale t₀ (around 2 GeV).
- These starting PDFs are fitted to experimental data.



parton showers



- To simulate parton radiation from the initial state, we start with the hard scattering, and then "deconstruct" the DGLAP evolution to get back to the original hadron: backwards evolution!
 - i.e. we undo the analytic resummation and replace it with explicit partons (e.g. in Drell-Yan this gives non-zero p⊤ to the vector boson)
- In backwards evolution, the Sudakovs include also the PDFs -this follows from the DGLAP equation and ensures conservation of probability:

$$\Delta_{Ii}(x,t_1,t_2) = \exp\left\{-\int_{t_1}^{t_2} dt' \sum_j \int_x^1 \frac{dx'}{x'} \frac{\alpha_s(t')}{2\pi} P_{ij}\left(\frac{x}{x'}\right) \frac{f_i(x',t')}{f_j(x,t')}\right\}$$

This represents the probability that parton **i** will stay at the same \mathbf{x} (no splittings) when evolving from \mathbf{t}_1 to \mathbf{t}_2 .

• The shower simulation is now done as in a final state shower!



Parton Shower







x

²).





- The shower stops if all partons are characterized by a scale at the IR cut-off: $Q_0 \sim I$ GeV.
- Physically, we observe hadrons, not (colored) partons.
- We need a non-perturbative model in passing from partons to colorless hadrons.
- There are two models (string and cluster), based on physical and phenomenological considerations.





The structure of the perturbative evolution including angular ordering, leads naturally to the clustering in phase-space of color-singlet parton pairs (preconfinement). Long-range correlations are strongly suppressed. Hadronization will only act locally, on low-mass color singlet clusters.







String model



From lattice QCD one sees that the color confinement potential of a quark-antiquark grows linearly with their distance: $V(r) \sim kr$, with $k \sim 0.2$ GeV. This is modeled with a stringer of every structure of Q_{0} and to a lesser extent the gluon-splitting mechanism. Typical cluster masses are pormally two or three times Q_{0} . (energy per unit length) k that gets stretched betwee the structure of the struc



When quark-antiquarks are too far apart, it becomes energetically more favorable to break the string by creating a new qq pair in the middle.





Varying the shower starting scale ('wimpy' or 'power') and the evolution parameter (' $Q^{2'}$ or ' $p_T^{2'}$) a whole range of predictions can be made:







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Ideal to describe the data: one can tune the parameters and fit it! But is this really what we want...Does it work for other procs?

Mattelaer Olívíer









- General-purpose tools
- Complete exclusive description of the events: hard scattering, showering & hadronization (and underlying event)
- Reliable and well-tuned tools
- Significant and intense progress in the development of new showering algorithms with the final aim to go at NLO in QCD





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Shower MC Generators: PYTHIA, HERWIG, SHERPA





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Shower MC Generators: PYTHIA, HERWIG, SHERPA

"Note that a banching tree is not a Feynman diagram: it represents the coherent sum of many real and virtual diagrams which are summed by the branching algorithm" (HERWIG manual)



Herwig



• All HERWIG versions implement the angular-ordering: subsequent emissions are characterized by smaller and smaller angles.

HERWIG 6:
$$t = \frac{p_b \cdot p_c}{E_b E_c} \simeq 1 - \cos \theta$$

HERWIG++:
$$t = \frac{(p_{b\perp})^2}{z^2(1-z)^2} = t(\theta)$$

- With angular ordering the parton shower does not populate the full phase space: empty regions of the phase space, called "dead zones", will arise.
- It may seem that the presence of dead zones is a weakness, but it is not so: they implement correctly the collinear approximation, in the sense that they constrain the shower to live uniquely in the region where it is reliable. Matrix element corrections (MLM/CKKW matching) remove the dead-zones
- Hadronization: cluster model.







• Choice of evolution variables for Fortran and C++ versions:

PYTHIA 6:
$$t = (p_b + p_c)^2 \sim z(1-z)\theta^2 E_a^2$$

PYTHIA 8: $t = (p_b)^2_{\perp}$

- Simpler variables, but decreasing angles not guaranteed: PYTHIA rejects the events that do not respect the angular ordering. In practice equivalent to angular ordering (in particular for Pythia 8)
- Not implementing directly angular ordering, the phase space can be filled entirely (even without matrix element corrections), so one can have the so called "power shower" (use with a certain care: it uses the collinear/soft approximation for from the region where it is valid)
- Hadronization: string model.



Sherpa



- SHERPA uses a different kind of shower not based on the collinear $I \rightarrow 2$ branching, but on more complex $2 \rightarrow 3$ elementary process: emission of the daughter off a color dipole
- The real emission matrix element squared is decomposed into a sum of terms D_{ij,k} (dipoles) that capture the soft and collinear singularities in the limits i collinear to j, i soft (k is the spectator), and a factorization formula is deduced in the leading color approximation:

$$D_{ij,k} \to B \frac{\alpha_{\rm S}}{p_i \cdot p_j} K_{ij,k}$$



• The shower is developed from a Sudakov form factor

$$\Delta = \exp\left(-\int \frac{dt}{t} \int dz \, \alpha_{\rm s} \, K_{ij,k}\right)$$

- It treats correctly the soft gluon emission off a color dipole, so angular ordering is built in.
- Hadronization: cluster model (default) and string model



Summary



- The parton shower dresses partons with radiation. This makes the inclusive parton-level predictions (i.e. inclusive over extra radiation) completely exclusive
 - In the soft and collinear limits the partons showers are exact, but in practice they are used outside this limit as well.
 - Partons showers are universal (i.e. independent from the process)
- There is a cut-off in the shower (below which we don't trust perturbative QCD) at which a hadronization model takes over
 - Hadronization models are universal and independent from the energy of the collision